

Timeliness of Audit Feedback

Team Update

Presented by
Susan Tellez

Background

- Pre-Negotiation Audit Feedback – too slow – causing delays in executing contracts and in the project schedules.

Team Members

Susan Tellez, ECS, Team Leader

Michael Schwartz, Audit & Analysis

Carrie Satterlee, ECS

Bahram Dariush, SPMG

James Romero, VPMG




Team Progress

- ✔ Team identified the following possible causes for delays in providing Pre-Negotiation Audit Information:
 - Incomplete Consultant Financial Information submitted to Audit
 - Unresponsive Consultants
 - Some firms are Administratively Unqualified – Accounting System does not comply with ADOT FAR requirements.
 - Communication Gap between ECS, ADOT PM and Audit
- ✔ Team agreed on a process that would provide early notification to the ADOT PM and ECS when an audit problem exists.

ECS Process

- ✓ Upon selection, ECS will e-mail Audit & Analysis the names of the selected Prime(s) and Subconsultant(s)
- ✓ Audit & Analysis will respond and notify ECS and ADOT PM on the status of each Consultant -- for example:
 - Consultant is in good standing and has previously submitted required information.
 - Audit is working with Consultant.
 - New firm (Audit does not have any prior financial information on Consultant).
 - Unresponsive Consultant.
- ✓ ADOT PM and ECS will follow up with Consultants so that required information is submitted.

Team Target Dates

TASK	ORIGINAL	STATUS
 Implementation of New ECS Process	01-11-2006	Completed
 Measure Effectiveness	03-15-2006	
 Report Progress to Team	04-03-2006	

Team Next Step

FINAL TEAM MEETING TO DISCUSS:

- 🌱 Review Effectiveness of New Process
- 🌱 Make Necessary Adjustments to New Process

QUESTIONS & ANSWERS

